

FORMALITIES LETTER



OC00000004975841

UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/480,991	01/11/2000	David Cushing	2566-105

Kevin G. Shaw
Rothwell figg Ernst & Kurz
East 555 13th Street N W
Suite 701
Washington, DC 20004



Date Mailed: 02/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$354.
 - \$198 for 11 total claims over 20.
 - \$156 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1174.

A copy of this notice **MUST** be returned with the reply.

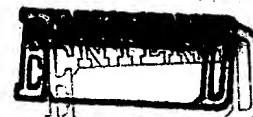
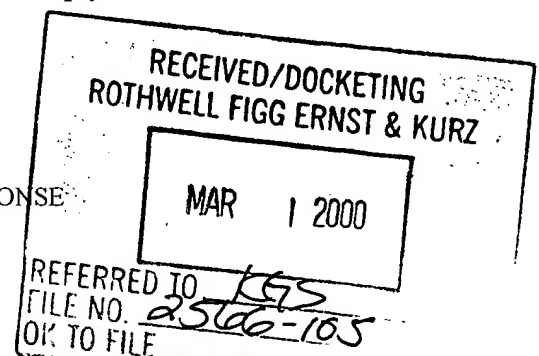
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/31/2000 MBERHE 00000087 09480991

01 FC:201	345.00 OP
02 FC:205	65.00 OP
03 FC:203	99.00 OP
04 FC:202	78.00 OP



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2/25/00 3:05 PM

2566-105A
VMD:cjk

#3
Sector 1/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

David C. CUSHING

Serial No. 09/480,991

Filed: January 11, 2000

For: AUTOMATED BATCH AUCTIONS
IN CONJUNCTION WITH
CONTINUOUS FINANCIAL
MARKETS



Box Missing Parts

Attention:
Applications Branch

March 30, 2000

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated February 28, 2000 received in the above-identified application, response copy attached.

Attached hereto is a check in payment of the following fees:

\$ 65.00	(surcharge under 37 C.F.R. 1.16(e))
345.00	(basic filing fee)
<u>177.00</u>	(additional claims fee)
\$ 587.00	TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to Deposit Account No. 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted herewith:

- A Declaration claiming small entity status.

The following documents are being submitted concurrently under separate cover:

- Assignment, Form 1595 & \$40.00 Ck.

Respectfully submitted,

ROTHWELL, FIGG, ERNST & KURZ, p.c.

By Vincent M DeLuca
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